



# Provenance



## HELEN O'SULLIVAN

Compliance Officer/Project Manager

Helen has a demonstrated history of working in the financial services industry and is skilled in Compliance, AML Delegate Audit, Project Management, Gap Analysis and Risk Management. A compliance professional with experience developing services and working closely with clients and colleagues with a solution focused approach. Helen has experience acting as AML Compliance Officer (AMLCO), Money-Laundering Reporting Officer (MLRO) and Deputy Money-Laundering Reporting Officer (DMLRO) for Cayman and BVI funds, local licensees and VASPs, working closely with Investment Managers, Administrators and governing bodies of Cayman funds, ensuring that Clients understand and meet their regulatory requirements.

### Fun Fact:

Whilst working as a paediatric ICU nurse in London, Helen helped to develop a new service line which she presented at an international conference in Argentina. Since moving back to Cayman, she has been channeling her energy into developing her CrossFit skills and the perfect high five. When she is not working or working out, Helen can be found planning her next travel adventure.

**"We are a motley crew with the common goal of supporting and guiding clients to manage the ever-evolving regulation in the digital asset space."**

## WORK HISTORY

Over ten years' experience in the legal and financial industry across London and the Cayman Islands, most recently as an *Associate Director*, serving as AML Officer to Cayman funds. Helen served as an AMLCO, MLRO and DMLRO for a diverse portfolio of funds with a broad range of investment strategies. Fund clients included hedge funds, funds of funds, private equity funds, private credit funds and real estate funds managed by investment advisors located primarily in the North American, South American and Caribbean regions. In her capacity as AMLCO, Helen was responsible for overseeing AML Compliance Programs and assisting funds in meeting their Cayman regulatory requirements. Helen has experience with project management, developing services from inception and working with clients to create individualized solutions

## QUALIFICATIONS & CREDENTIALS

BSc (Hons) from the University of York  
Diploma in Anti-Money Laundering from International Compliance Association (ICA)  
Currently studying to become a Certified AML Fintech Compliance Associate (ACAMS)  
100 Women in Finance  
International Compliance Association - Professional Member  
ACAMS - Member

## TALK TO HER ABOUT:

AML Officer services.  
Compliance requirements for crypto-funds and investment funds generally.  
Audit and review of your compliance architecture.  
Individualising services for your compliance needs.